



UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
801 BRICKELL AVENUE, SUITE 1950
MIAMI, FLORIDA 33131

MIAMI
REGIONAL OFFICE

DIVISION OF ENFORCEMENT

[REDACTED]
Assistant Regional Director
[REDACTED]
[REDACTED]

January 26, 2023

VIA EMAIL (david@davidchaselaw.com)

[REDACTED]
c/o David R. Chase, Esq.
Law Firm of David R. Chase
1700 East Las Olas Boulevard, Suite 305
Fort Lauderdale, FL 33301

Re: In the Matter of [REDACTED]

Dear Mr. Chase:

We have concluded the investigation as to [REDACTED]. Based on the information we have as of this date, we do not intend to recommend an enforcement action by the Commission against [REDACTED]. We are providing this notice under the guidelines set out in the final paragraph of Securities Act Release No. 5310, which states in part that the notice “must in no way be construed as indicating that the party has been exonerated or that no action may ultimately result from the staff’s investigation.” (The full text of Release No. 5310 can be found at: <http://www.sec.gov/divisions/enforce/wells-release.pdf>.)

Sincerely,

[REDACTED]
[REDACTED]
Assistant Regional Director
Division of Enforcement