



UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
DENVER REGIONAL OFFICE  
1961 STOUT STREET  
SUITE 1700  
DENVER, COLORADO 80294-1961

In replying  
please quote  
[REDACTED]

October 2, 2017

**SENT VIA EMAIL TO: david@davidchaselaw.com**  
**AND UPS OVERNIGHT DELIVERY**

David R. Chase, Esq.  
Law Firm of David R. Chase, P.A.  
1700 East Las Olas Boulevard  
Suite 305  
Fort Lauderdale, FL 33301

Re: In the Matter of [REDACTED] (D-[REDACTED])

Dear Mr. Chase:

We have concluded the investigation as to your client, [REDACTED]. Based on the information we have as of this date, we do not intend to recommend an enforcement action by the Commission against [REDACTED]. We are providing this notice under the guidelines set out in the final paragraph of Securities Act Release No. 5310, which states in part that the notice "must in no way be construed as indicating that the party has been exonerated or that no action may ultimately result from the staff's investigation." (The full text of Release No. 5310 can be found at: <http://www.sec.gov/divisions/enforce/wells-release.pdf>.)

Sincerely,

[REDACTED]  
Assistant Regional Director  
Division of Enforcement